

# Purely infinite étale groupoid $C^*$ -algebras

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Classification of  $C^*$ -algebras, flow equivalence of shift spaces, and graph and Leavitt path algebras

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# Outline

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## Separable $C^*$ -algebras

Let  $X$  be a (countable) set.

- $\ell^2(X) = \{\xi : X \rightarrow \mathbb{C} : \sum_{x \in X} |\xi(x)|^2 < \infty\}$ 
  - ▶ If  $X = \{1, 2\}$ ,  $\ell^2(X) = \mathbb{C}^2$ .
- There is an inner product on  $\ell^2(X)$  given by

$$\langle \xi, \zeta \rangle = \sum_{x \in X} \overline{\xi(x)} \zeta(x) \quad \text{giving norm} \quad \|\xi\| = \sqrt{\langle \xi, \xi \rangle}.$$

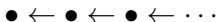
- A linear map  $T : \ell^2(X) \rightarrow \ell^2(X)$  (operator) is *bounded* if  $\|T\| := \sup_{\|\xi\|=1} \|T\xi\| < \infty$ .
  - ▶ Denote the set of bounded operators by  $B(\ell^2(X))$
  - ▶ If  $X = \{1, 2\}$ ,  $B(\ell^2(X)) \cong M_2(\mathbb{C})$ .
- Can add, multiply and scalar multiply bounded operators pointwise.
- Adjoint:  $\forall T, \exists ! T^*$  such that  $\langle T\xi, \zeta \rangle = \langle \xi, T^*\zeta \rangle$ .
- A  $C^*$ -algebra is a closed  $*$ -subalgebra of  $B(\ell^2(X))$  for some  $X$ .
  - ▶ Projection:  $p = p^2 = p^*$ : think  $E_{11}$
  - ▶ Partial isometry:  $s$  if  $s^*s, ss^*$  are projections: think  $E_{12}$

# Graph $C^*$ -algebras

$E = (E^0, E^1, r, s)$  a directed graph

(row-finite, no sources: ie  $0 < |r^{-1}(v)| < \infty$ ).

- $\alpha = \alpha_1 \alpha_2 \cdots$  a path if  $s(\alpha_i) = r(\alpha_{i+1})$



- $E^*$  is the set of finite paths,  $E^\infty$  is the set of infinite paths.

▶ Denote by  $Z(\alpha) = \alpha E^\infty = \{x \in E^\infty : x_1 \cdots x_{|\alpha|} = \alpha\}$

- $C^*(E)$  is generated by mutually orthogonal projections  $\{p_v\}_{v \in E^0}$  and partial isometries  $\{s_e\}_{e \in E^1}$  which are universal for the Cuntz-Krieger relations:

①  $s_e^* s_f = \delta_{e,f} p_{s(e)}$ ,

②  $p_v = \sum_{r(e)=v} s_e s_e^*$ .

- Cofinal:  $\forall x \in E^\infty, v \in E^0 \exists \alpha \in E^*, i \in \mathbb{N}$  such that

$$r(\alpha) = v, s(\alpha) = r(x_i).$$

- Condition L: If  $\alpha$  a return path ( $s(\alpha) = r(\alpha)$ ) then  $\exists i \in \mathbb{N}$  such that

$$r(\alpha_i) E^1 - \{\alpha_i\} \neq \emptyset.$$

- $C^*(E)$  simple  $\Leftrightarrow E$  cofinal with Condition L. (Bates, et al 2000)

# Kirchberg and Phillips classification

Let  $A$  be a  $C^*$ -algebra.

- For  $a \in M_n(A)^+$ ,  $b \in M_m(A)^+$ ,  $a$  is *Cuntz below*  $b$ , denoted  $a \precsim b$ , if there exists a sequence of elements  $x_k$  in  $M_{m,n}(A)$  such that  $x_k^* b x_k \rightarrow a$  in norm.
- $a \in A^+$  is properly infinite if  $a \oplus a \precsim a$ .
  - ▶ if  $a, b \in A^+$   $a \leq b$ , then  $a \precsim b$ . (Kirchberg Rørdam '00)
- Heuristically,  $a \in A^+$  is *properly infinite* if it has infinite range.
- $A$  is *purely infinite* if every nonzero positive element is properly infinite.

## Theorem (Kirchberg and Phillips '00)

Two separable **purely infinite**, simple, nuclear  $C^*$ -algebras satisfying the UCT are isomorphic if and only if they are either both unital or nonunital and their ordered  $K$ -theory is isomorphic.

- Every simple graph  $C^*$ -algebra is either purely infinite or AF.
  - ▶ Contains return path  $\Leftrightarrow C^*(E)$  purely infinite. (Kumjian et al 1998)
- No characterization exists for generalizations of graph  $C^*$ -algebras.

# Groupoid

A groupoid  $G$  is a small (arrows only) category in which every element is invertible.

- Multiplication:



- Unit space: Identity arrows are identified with objects, we denote both by  $G^{(0)}$ .
- $r, s : G \rightarrow G^{(0)}$ , taking  $\gamma$  to its range and source respectively.
  - ▶  $\gamma, \eta$  composable iff  $s(\gamma) = r(\eta)$ .
- $xGy = \{\gamma : r(\gamma) = x, s(\gamma) = y\}$

# Topological groupoids

- Topology
  - ▶  $G$  second countable locally compact Hausdorff.
  - ▶ Composition and inversion are continuous.
- $B \subset G$  is a bisection if
  - ▶  $B$  open,
  - ▶  $r(B), s(B)$  are open,
  - ▶  $r|_B, s|_B$  are homeomorphisms.
  - ▶ In particular  $r|_B, s|_B$  are injective.
- $G$  is étale if it has a basis of bisections.
- $G$  étale implies
  - ▶  $r^{-1}(x)$  discrete.
    - ★  $\gamma \in r^{-1}(x)$  there exists open bisection  $B$  such that  $\gamma \in B$ .
    - ★ Since  $B$  a bisection  $B \cap r^{-1}(x) = \{\gamma\}$ .
  - ▶  $G^{(0)}$  clopen.

# Graph Groupoid

Let  $E$  be a directed graph,  $x, y \in E^\infty$ .

- $x \sim_k y$  if there exists  $N$  such that for  $i \geq N$ ,  $x_{i+k} = y_i$ .
  - ▶  $x \sim_k y$  if and only if there exists  $\alpha, \beta \in E^*$ ,  $z \in E^\infty$  with  $|\alpha| - |\beta| = k$  and

$$x = \alpha z \quad y = \beta z.$$

- Take  $G_E = \{(x, k, y) : x \sim_k y\} \subset E^\infty \times \mathbb{Z} \times E^\infty$ .
  - ▶ Multiplication:  $(x, k, y)(y, \ell, z) = (x, k + \ell, z)$
  - ▶ Units:  $(x, 0, x)(x, k, y) = (x, k, y) = (x, k, y)(y, 0, y)$ .
    - ★  $E^\infty \leftrightarrow G_E^{(0)}$  by  $x \leftrightarrow (x, 0, x)$ .
  - ▶ Inverse:  $(x, k, y)(y, -k, x) = (x, 0, x)$ .

Basis:  $Z(\alpha, \beta) = \{(\alpha z, |\alpha| - |\beta|, \beta z) : z \in s(\alpha)E^\infty\}$ .

- Note:
  - $r(Z(\alpha, \beta)) = \alpha E^\infty \leftrightarrow Z(\alpha, \alpha)$ ,  $s(Z(\alpha, \beta)) = \beta E^\infty \leftrightarrow Z(\beta, \beta)$
- $Z(\alpha, \beta)$  is compact.

Same construction works for higher rank graphs.



## Étale groupoid $C^*$ -algebras

Let  $G$  be an étale groupoid and  $f, g \in C_c(G)$ . Define

$$f * g(\gamma) = \sum_{r(\eta)=r(\gamma)} f(\eta)g(\eta^{-1}\gamma) \quad f^*(\gamma) = \overline{f(\gamma^{-1})}$$

$$\begin{aligned} 1_{Z(\alpha,\beta)} * 1_{Z(\mu,\nu)}(x, k, y) &= \sum_{(x,\ell,z)} 1_{Z(\alpha,\beta)}(x, \ell, z) 1_{Z(\mu,\nu)}((x, \ell, z)^{-1}(x, k, y)) \\ &= \sum_{(x,\ell,z)} 1_{Z(\alpha,\beta)}(x, \ell, z) 1_{Z(\mu,\nu)}(z, k - \ell, y) \\ &= \begin{cases} 1 & \text{if } z = \mu z' = \beta x', y = \nu z', x = \alpha x', k = |\mu| - |\nu| + |\alpha| - |\beta| \\ 0 & \text{otherwise} \end{cases} \\ &= \begin{cases} 1_{Z(\alpha,\nu\mu')}(x, k, y) & \text{if } \beta = \mu\mu' \\ 1_{Z(\alpha\beta',\nu)}(x, k, y) & \text{if } \beta\beta' = \mu. \end{cases} \\ 1_{Z(\alpha,\beta)}^*(x, k, y) &= 1_{Z(\alpha,\beta)}(y, -k, x) = 1_{Z(\beta,\alpha)}(x, k, y) \end{aligned}$$

# Groupoid $C^*$ -algebra

Regular Representation:

- For  $x \in G^{(0)}$  consider  $\ell^2(Gx)$ , the left regular representation associated to  $x$  is characterized by

$$L^x(f)\delta_\eta = f * \delta_\eta = \sum_{s(\gamma)=r(\eta)} f(\gamma)\delta_{\gamma\eta}$$

where  $f \in C_c(G)$  and  $\delta_\eta$  is the Dirac delta function.

Define:

$$\|f\|_r = \sup_{x \in G^{(0)}} \|L^x(f)\|.$$

$$C_r^*(G) \cong \overline{\bigoplus_{x \in G^{(0)}} L^x(C_c(G))}.$$

- $C^*(E) \cong C_r^*(G_E)$  via  $s_e \mapsto 1_{Z(e,s(e))}$ .

Since  $G^{(0)}$  is open in  $G$ ,

- $C_c(G^{(0)}) \hookrightarrow C_c(G)$  via extension by 0;
- this inclusion extends to an inclusion  $C_0(G^{(0)}) \hookrightarrow C_r^*(G)$ .

# Minimal groupoids

## Definition

$G$  is minimal if  $r(s^{-1}(x))$  is dense in  $G^{(0)}$  for all  $x \in G^{(0)}$ .

- Let  $E$  be a row-finite graph with no sources, then  $G_E$  is minimal if and only if  $E$  is cofinal. (Kumjian et al '97)
  - ▶ Suppose  $E$  is cofinal. Let  $x \in E^\infty = G_E^{(0)}$  and  $Z(\alpha)$  in  $G^{(0)}$  open.
    - ★ There exists a path  $\mu$  and  $i$  such that  $r(\mu) = s(\alpha)$  and  $s(\mu) = r(x_{i+1})$ .
    - ★ Let  $y = x_{i+1}x_{i+2}\cdots$  then  $(\alpha\mu y, x_1 \cdots x_i y)$  has range in  $Z(\alpha)$  and source  $x$ .
  - ▶ Suppose  $G_E$  is minimal,  $x \in E^\infty$   $v \in E^0$ 
    - ★ there exists  $(\alpha y, k, \beta y)$  such that  $\alpha y \in Z(v)$  and  $\beta y = x$
    - ★ Then  $r(\alpha) = v$  and  $s(\alpha) = r(y) = r(x_{|\beta|+1})$ .

# Topologically principal groupoids

## Definition

$G$  is topologically principal if  $X = \{x : xGx = \{x\}\}$  is dense in  $G^{(0)}$ . If  $X = G^{(0)}$  then we say  $G$  is principal.

- $xG_E x \neq \{x\}$  if and only if  $x = \alpha\mu\mu\cdots$  for some return path  $\mu$ .
  - ▶ If  $x = \alpha\mu\mu\cdots$  let  $y = \mu\mu\cdots$  then  $(\alpha y, |\mu|, \alpha y) \in xG_E x \setminus \{x\}$
  - ▶ If  $(\alpha y, k, \beta y) \in xG_E x \setminus \{x\}$ , then  $\alpha y = x = \beta y$ .
  - ▶ WLOG assume  $|\alpha| > |\beta|$  then for  $\mu = \alpha_{|\beta|+1}\cdots\alpha_{|\alpha|}$ ,  $x = \alpha\mu\mu\mu\cdots$ .
- $G_E$  is topologically principal if and only if  $E$  satisfies condition L. (Kumjian et al 1998)
  - ▶ If  $G_E$  topological principal and  $\mu$  is a return path in  $E$ .
    - ★  $y = \mu\mu\mu\cdots$ . Then there exists  $x \in Z(\mu)$  such that  $xG_E x = \{x\}$ .
    - ★ So  $x = \mu z$  but  $z \neq \mu\mu\cdots$ .
    - ★ Let  $i$  be the first index such that  $x_i \neq \mu_j$  for some  $j$ . Then  $x_i$  is an entrance for  $\mu$ : that is  $E$  satisfies L.
  - ▶ If  $E$  satisfies condition L and  $Z(\alpha)$  open
    - ★ Using condition L, construct a path  $x = \alpha y$  with  $y$  not of the form  $\beta\mu\mu\cdots$ .
    - ★ Then  $xG_E x = \{x\}$  and  $x \in Z(\alpha)$ : that is  $G_E$  topologically principal.

# Locally Contracting

A étale groupoid  $G$  is *locally contracting* if for every  $U \subset G^{(0)}$  open there exists a  $V \subset U$  and a bisection  $B$  such that

- 1  $s(B) \subset \overline{V}$ , and
  - 2  $r(B) \subsetneq V$ .
- If  $E$  is a graph and  $\alpha$  is a return path with entrance  $e$ . Assume  $r(e) = s(\alpha)$
  - Consider  $Z(\alpha\alpha, \alpha)$ 
    - ▶  $s(Z(\alpha\alpha, \alpha)) = Z(\alpha) \supset Z(\alpha\alpha) = r(Z(\alpha\alpha, \alpha))$
    - ▶  $Z(\alpha\alpha) \cap Z(\alpha e) = \emptyset$  so  $Z(\alpha\alpha) \neq Z(\alpha)$ .

## Theorem (Anantharaman-Delaroche, '97)

If  $G$  is a locally contracting topologically principal minimal étale groupoid then  $C_r^*(G)$  is purely infinite.

# Purely infinite

## Theorem (B., Clark, Sierakowski '14)

*For a second countable locally compact Hausdorff groupoid  $G$  that is topologically principal and minimal,  $C_r^*(G)$  is purely infinite simple if and only if every nonzero positive element of  $C_0(G^{(0)})$  is properly infinite.*

Idea: Given  $c \in C_r^*(G)$ , use an argument of Anantharaman Delaroché (1997) and Lemma 2.2 of Kirchberg, Rørdam 2002 to construct a  $b \in C_r^*(G)$  so that  $b^*cb \in C_0(G^{(0)})$ . Now  $b^*cb$  infinite implies  $c$  infinite.

Advantages of this theorem:

- Only have to check positive elements in a restricted abelian subalgebra of  $C^*(G)$ .

Disadvantage of the theorem:

- Theorem doesn't say anything about the groupoid structure.

# Graphs

Let  $E$  be a row-finite cofinal graph that satisfies condition L.

- If  $a \in C_0(E^\infty) = C_0(G_E^{(0)})$  is positive, then there exists  $c \in \mathbb{C}$  and  $\alpha \in E^*$  with  $c1_{\alpha E^*} \leq a$ .
- So  $a$  infinite if  $1_{\alpha E^\infty}$  is infinite.
- Now  $1_{\alpha E^*} = 1_{Z(\alpha, s(\alpha))} 1_{Z(\alpha, s(\alpha))}^*$  is infinite if and only if  $p_{s(\alpha)} \leftrightarrow 1_{s(\alpha) E^*} = 1_{Z(\alpha, s(\alpha))}^* 1_{Z(\alpha, s(\alpha))}$  is.

## Corollary (B., Clark, Sierakowski '14)

*If  $E$  is a row-finite cofinal graph that satisfies condition L, then  $C^*(E)$  is purely infinite simple if and only if  $p_v$  is infinite in  $C^*(E)$  for all  $v \in E^0$ .*

- Works for higher rank graphs too!

## Constructing purely infinite groupoids

- Suppose  $G$  is a groupoid and  $h : G \rightarrow G$  is an automorphism.
- Let  $\mathcal{O}$  be the graph consisting of one vertex and countably many edges.
  - ▶  $C^*(\mathcal{O}) \cong \mathcal{O}_\infty$ .
- Let  $G_{\mathcal{O}}$  be the groupoid associated to  $G_{\mathcal{O}}$   
(slightly different construction from before).
- There is a map

$$c : G_{\mathcal{O}} \rightarrow \mathbb{Z} \quad \text{by} \quad (x, k, y) \mapsto k.$$

- Construct a semidirect product groupoid  $G_h^\infty$ 
  - ▶  $G_h^\alpha = \mathcal{O} \times G$  as a topological space.
  - ▶  $r(((x, k, y), \gamma)) = (x, r(\gamma)) \quad s(((x, k, y), \gamma)) = (y, h^k(s(\gamma)))$ .
  - ▶ Operations:

$$\begin{aligned} ((x, k, y), \gamma) \cdot ((y, \ell, z), \eta) &= ((x, k + \ell, z), \gamma h^{-k}(\eta)), \\ ((x, k, y), \gamma)^{-1} &= ((y, -k, x), h^k(\gamma^{-1})). \end{aligned}$$



# Properties of $G_h^\infty$

Properties of $G$	Properties of $h$	Properties of $G_h^\infty$
Principal	$r(s^{-1}(x)) = r(s^{-1}(h^k(x))) \Rightarrow k = 0$	Principal
	$\bigcup_{n \leq k} h^n(r(s^{-1}(x)))$ is dense in $G^{(0)}$	Minimal
Basis for $G^{(0)}$ , $\mathcal{B}$ , of compact open sets	$\forall V \in \mathcal{B} \exists \ell > 0$ such that $h^{-\ell}(V) \subset V$	locally contracting

By Anantharaman Delaroché '97  $G_h^\infty$  is purely infinite simple if  $G$  and  $h$  satisfy the listed properties above.

# Bratteli Diagrams

A Bratteli diagram  $E$  consists of vertices divided into levels  $V_n$  so that for each  $e$  in  $E^1$   $s(e) \in V_i$  and  $r(e) \in V_{i-1}$ .

- Let  $k_{vw} = |vE^1w|$  and enumerate  $vE^1w = \{e_{vw}^1, e_{vw}^2, \dots, e_{vw}^{k_{vw}}\}$ .
- Define

$$\tilde{h}(e_{vw}^i) = e_{vw}^{(i+1) \bmod (k_{vw})}$$

and  $\tilde{h}$  fixes  $E^0$ .

- $\tilde{h}$  induces an automorphism on  $G_E$  via

$$h(x_1x_2 \cdots, k, y_1y_2 \cdots) = (\tilde{h}(x_1)\tilde{h}(x_2) \cdots, k, \tilde{h}(y_1)\tilde{h}(y_2) \cdots)$$

- Since  $|r(\mu)Es(\mu)| < \infty$  and  $h(Z(\alpha)) = Z(\tilde{h}(\alpha))$  there exists an  $\ell$  with  $h^\ell(Z(\alpha)) = Z(\alpha)$ .
  - ▶ Thus  $G_E^\infty$  is locally contracting.

## Bratteli Diagrams Continued

Assume for  $v \in V_n$ ,  $w \in V_{n+1}$  that  $k_{vw} := |vE^1w| > n$ . Then  $G_E^\infty$  is principal.

- Fix  $x \in G^{(0)} = E^\infty$ .
- Since  $E$  is acyclic,  $G_E$  is principal. Need to show  $r(s^{-1}(x)) = r(s^{-1}(h^k(x)))$  implies  $k = 0$ .
- If  $r(s^{-1}(x)) = r(s^{-1}(h^k(x)))$ . This happens if and only if  $\alpha x_m \cdots = \alpha \tilde{h}(x_n) \cdots$ . Since  $\tilde{h}$  fixes vertices we have  $m = n$  and can thus assume

$$x = h^k(x).$$

- Thus  $x_i = \tilde{h}^k(x_i)$  for all  $i$ .
- $h^k$  permutes edges in  $r(x_i)E^1s(x_i) \bmod k_{r(x_i)s(x_i)}$  and  $k_{r(x_i)s(x_i)} \rightarrow \infty$  we must have  $k = 0$ .

Thus if we assume  $E$  is cofinal. Then  $G_E$  is minimal and  $\bigcup_{n \leq k} h^n(r(s^{-1}(x))) \subset r(s^{-1}(x))$  is dense for all  $x$  we get

$C^*(G_E^\infty)$  is purely infinite simple.

# KK-equivalence

## Theorem (B., Clark, Sierakowski, Sims)

*Suppose  $G$  is a second countable locally compact amenable étale groupoid with a basis of compact open bisections and  $h$  an automorphism of  $G$ .*

*Then the map*

$$\iota_G : C_r^*(G) \rightarrow C_r^*(G_h^\infty) \quad \text{given by} \quad f \mapsto 1_{G_0^{(0)}} \times f$$

*induces an isomorphism of ordered  $K$ -theory.*

Idea: Construct a  $C_r^*(G)$  correspondence where  $C_r^*(G_h^\infty)$  is the Toeplitz algebra and  $\iota_G$  is the inclusion of  $C_r^*(G)$  into the Toeplitz algebra. Then Theorem 4.4 of Pimsner 1997 gives the result.

## Corollary (B., Clark, Sierakowski, Sims)

*Suppose  $A$  is a Kirchberg algebra with  $K_0(A)$  a simple dimension group and  $K_1(A) = \{0\}$ . Then there exists a topologically principal minimal étale groupoid  $G$  such that  $C_r^*(G) \cong A$ .*

THANK YOU