

Classification of C^* -algebras, flow equivalence of shift spaces, and graph and Leavitt path algebras

Søren Eilers

eilers@math.ku.dk

Department of Mathematical Sciences
University of Copenhagen

Lecture 3

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Content

- 1 FE versus ME
- 2 K -theory
- 3 The gauge simple case
- 4 Geometric classification
- 5 Matsumoto/Matui

Theorem (Boyle/Huang, Boyle)

Let X_A and X_B be reducible edge shifts with isomorphic colored partial order given by their irreducible components. Then $X_A \sim_{\text{FE}} X_B$ in a way preserving the given isomorphism precisely when there exist block SL matrices U, V such that

$$U(I - A')V = I - B'$$

where $X_{A'} \sim_{\text{FE}} X_A$ and $X_{B'} \sim_{\text{FE}} X_B$ are prepared on the form

- Any irreducible component which is a single cycle has only one vertex
- Any irreducible component which is not a single cycle has positive entries and at least two more vertices than there are summands in the Bowen-Franks group

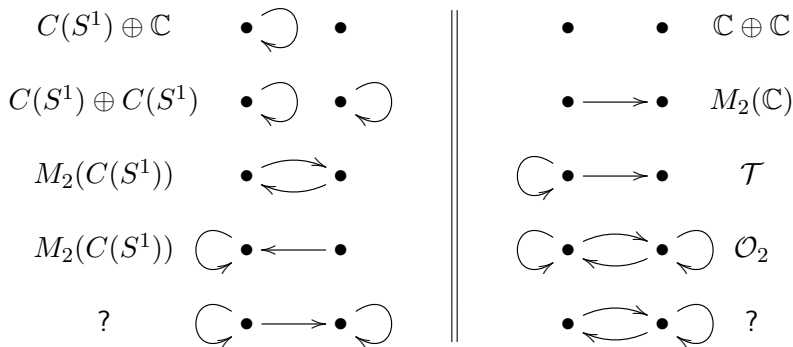
Key remarks

- Flow equivalence, i.e. existence of such SL block matrices, is decidable, but not practically so.
- The proof constructively replaces U and V by a sequence of row/column additions/subtractions.
- A Franks' standard form is not useful in the general case.

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$$n = 2$$



Theorem (Cuntz/Krieger)

If E and F are essential and finite graphs, then

$$\chi_E \sim_{\text{FE}} \chi_F \implies C^*(E) \sim_{\text{ME}} C^*(F)$$

Proposition

Let E be an essential and finite graph. If E^\sharp arises from E by an out-splitting, we have

$$C^*(E^\sharp) \simeq C^*(E)$$

We outsplit E by partitioning

$$s^{-1}(v) = \mathcal{E}_v^1 \sqcup \mathcal{E}_v^2 \sqcup \dots \sqcup \mathcal{E}_v^{n(v)}$$

Then we have

$$\begin{aligned} (E^\sharp)^0 &= \{v^i \mid v \in E^0, i = 1, \dots, n(v)\} \\ (E^\sharp)^1 &= \{e^i \mid e \in E^1, i = 1, \dots, n(r(e))\} \\ r(e^i) &= r(e)^i \\ s(e^i) &= s(e)^{\mathcal{E}(e)} \text{ when } e \in \mathcal{E}_{s(e)}^{\mathcal{E}(e)} \end{aligned}$$

Proposition

Let E be an essential and finite graph. If E^\sharp arises from E by an out-splitting, we have

$$C^*(E^\sharp) \simeq C^*(E)$$

Proof

We define $\varphi : C^*(E) \rightarrow C^*(E^\sharp)$ by

$$\varphi(p_v) = \sum_{i=1}^{n(v)} p_{v^i} \quad \varphi(s_e) = \sum_{i=1}^{n(r(e))} s_{e^i}$$

The map is easily seen to be surjective, and it is injective by the GIUT.

Proposition

Let E be an essential and finite graph. If $E_{\#}$ arises from E by an out-splitting, we have

$$C^*(E_{\#}) \sim_{\text{ME}} C^*(E)$$

We in-split E by partitioning

$$r^{-1}(v) = \mathcal{E}_1^v \sqcup \mathcal{E}_2^v \sqcup \cdots \sqcup \mathcal{E}_{n(v)}^v$$

Then we have

$$\begin{aligned} (E_{\#})^0 &= \{v_i \mid v \in E^0, i = 1, \dots, n(v)\} \\ (E_{\#})^1 &= \{e_i \mid e \in E^1, i = 1, \dots, n(s(e))\} \\ r(e_i) &= r(e)_{\mathcal{E}(e)} \text{ when } e \in \mathcal{E}_{\mathcal{E}(e)}^{r(e)} \\ s(e_i) &= s(e)_i \end{aligned}$$

Proposition

Let E be an essential and finite graph. If E_{\sharp} arises from E by an in-splitting, we have

$$C^*(E_{\sharp}) \sim_{\text{ME}} C^*(E)$$

Proof

We define $\varphi : C^*(E) \rightarrow C^*(E_{\sharp})$ by

$$\varphi(p_v) = p_{v_1} \quad \varphi(s_e) = \sum_{f \in s^{-1}(r(e))} s_{e_1} s_{f_{\mathcal{E}(e)}} s_{f_1}^*$$

As before, it is injective by the GIUT, and the image is $p_{\sharp} C^*(E_{\sharp}) p_{\sharp}$ with

$$p_{\sharp} = \sum_{v \in E^0} p_{v_1}$$

Theorem (Brown-Green-Rieffel)

When \mathfrak{A} and \mathfrak{B} are separable C^* -algebras, the following are equivalent

- ① $\mathfrak{A} \otimes \mathbb{K} \simeq \mathfrak{B} \otimes \mathbb{K}$
- ② There exists a C^* -algebra \mathfrak{D} and orthogonal full projections $p, q \in M(\mathfrak{D})$ with

$$p\mathfrak{D}p \simeq \mathfrak{A} \quad q\mathfrak{D}q \simeq \mathfrak{B}$$

- ③ There exists an $\mathfrak{A} - \mathfrak{B}$ imprimitivity bimodule

We say that \mathfrak{A} and \mathfrak{B} are *Morita equivalent* and write $\mathfrak{A} \sim_{\text{ME}} \mathfrak{B}$ in this case. Note all of

$$\mathbb{C}, M_2(\mathbb{C}), M_3(\mathbb{C}), \dots, \mathbb{K}$$

are Morita equivalent.

Proposition

Let E be an essential and finite graph. If \tilde{E} arises from E by an edge expansion, we have

$$C^*(E) \sim_{\text{ME}} C^*(\tilde{E})$$

Extending the edge f gives the graph

$$(\tilde{E})^0 = \{v^0 \mid v \in E^0\} \cup \{w_1\}$$

$$(\tilde{E})^1 = \{e^0 \mid e \in E^1 \setminus \{f\}\} \cup \{f^0, f^1\}$$

$$r(e^0) = r(e)^0 \text{ when } e \neq f$$

$$s(e^0) = s(e)^0 \text{ when } e \neq f$$

$$s(f^0) = s(f)^0$$

$$r(f^0) = s(f^1) = w^1$$

$$r(f^1) = r(f)^0$$

Proposition

Let E be an essential and finite graph. If \tilde{E} arises from E by an edge expansion, we have

$$C^*(E) \sim_{\text{ME}} C^*(\tilde{E})$$

Proof

We define $\varphi : C^*(E) \rightarrow C^*(\tilde{E})$ by

$$\varphi(p_v) = p_v0 \quad \varphi(s_e) = s_e0 \quad \varphi(s_f) = s_f0s_f1$$

When we define $\beta_z \in \text{Aut}(C^*(\tilde{E}))$ by

$$\begin{aligned} \beta_z(p_v0) &= p_v0 & \beta_z(p_w0) &= p_w0 \\ \beta_z(s_e0) &= zs_e0 & \beta_z(s_f0) &= zs_f0 & \beta_z(s_f1) &= s_f1 \end{aligned}$$

we get $\beta_z \circ \varphi = \varphi \circ \gamma_z$, so we can again conclude that it is injective by the GIUT. The image is $(1 - p_w1)C^*(\tilde{E})(1 - p_w1)$.

	Morita equivalence	*- isomorphism	Gauge invariance
Out-splitting	✓	✓	✓
In-splitting	✓	-	✓
Edge expansion	✓	-	-




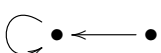
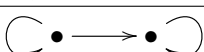
Flow equivalence is the coarsest equivalence relation on the set of edge shifts by essential graphs containing in-splitting, out-splitting, edge expansion, isomorphism of graphs.

Theorem (Cuntz/Krieger)

If E and F are essential and finite graphs, then

$$X_E \sim_{\text{FE}} X_F \implies C^*(E) \sim_{\text{ME}} C^*(F)$$

$$n = 2$$

$C(S^1) \oplus \mathbb{C}$		$\mathbb{C} \oplus \mathbb{C}$
$C(S^1) \oplus C(S^1)$		$M_2(\mathbb{C})$
$M_2(C(S^1))$		\mathcal{T}
$M_2(C(S^1))$		\mathcal{O}_2
		

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$$A_E = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & \infty & 0 \end{bmatrix}$$

$$A_E^\bullet = \begin{bmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 \end{bmatrix} \quad A_E^\circ = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & \infty & 0 \end{bmatrix}$$

A subtler invariant

K -theory for C^* -algebras is invariant for Morita equivalence.

Formulas

With A_E^\bullet the regular part of the adjacency matrix of E and I^\bullet the corresponding part of the identity matrix, we have

$$K_0(C^*(E)) = \text{cok}(I^\bullet - A_E^\bullet)^t$$

$$K_1(C^*(E)) = \text{ker}(I^\bullet - A_E^\bullet)^t$$

Key observation

$K_0(C^*(E))$ coincides with the Bowen-Franks group when E is essential and finite!

$$A_E^\bullet = \begin{bmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 \end{bmatrix} \quad A_E^\circ = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & \infty & 0 \end{bmatrix}$$

$$I = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \quad I^\bullet = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix} \quad I^\circ = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$(I^\bullet - A_E^\bullet)^t = \begin{bmatrix} -1 & 0 \\ 1 & -1 \\ 1 & 0 \\ 0 & 0 \end{bmatrix} \rightsquigarrow \begin{bmatrix} 1 & 0 \\ 0 & -1 \\ 0 & 0 \\ 0 & 0 \end{bmatrix}$$

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Definition

A *Kirchberg algebra* is

- simple
- purely infinite
- nuclear
- separable

Definition

A C^* -algebra is said to be AF (approximately finite) if it is the inductive limit of finite-dimensional C^* -algebras.

Trichotomy

Theorem

If a graph C^ -algebra has no non-trivial gauge invariant ideals, it is either*

- 1 *an AF algebra;*
- 2 *a Kirchberg algebra; or*
- 3 *$C(\mathbb{T}) \otimes \mathbb{K}(\mathcal{H})$ for some Hilbert space \mathcal{H} .*

The first case occurs when the graph has no cycles; the second when one vertex supports several cycles.

Theorem (Elliott)

When \mathfrak{A} and \mathfrak{B} are AF algebras, then

$$K_0(\mathfrak{A}) \simeq K_0(\mathfrak{B}) \iff \mathfrak{A} \sim_{\text{ME}} \mathfrak{B}$$

Theorem (Kirchberg-Phillips)

When \mathfrak{A} and \mathfrak{B} are Kirchberg algebras with the UCT, then

$$K_*(\mathfrak{A}) \simeq K_*(\mathfrak{B}) \iff \mathfrak{A} \sim_{\text{ME}} \mathfrak{B}$$

Theorem

If two graph C^* -algebras $C^*(E)$ and $C^*(F)$ have no non-trivial gauge invariant ideals, we have

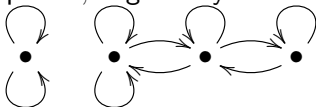
$$K_*(C^*(E)) \simeq K_*(C^*(F)) \iff C^*(E) \sim_{\text{ME}} C^*(F)$$

One needs to use the order of K_0 to distinguish between the three cases in the trichotomy, which is easy since

- 1 \mathfrak{A} an AF algebra: $K_0(\mathfrak{A})_+ \neq K_0(\mathfrak{A})$, $K_1(\mathfrak{A}) = 0$.
- 2 \mathfrak{A} a Kirchberg algebra: $K_0(\mathfrak{A})_+ = K_0(\mathfrak{A})$
- 3 $\mathfrak{A} = C(\mathbb{T}) \otimes \mathbb{K}(H)$: $K_0(\mathfrak{A})_+ \neq K_0(\mathfrak{A})$, $K_1(\mathfrak{A}) \neq 0$.

\mathcal{O}_2 versus \mathcal{O}_2^-

Consider the two graphs E, F given by



We have

$$\mathbb{Z}/(I - \mathbf{A}_E)\mathbb{Z} = 0 = \mathbb{Z}^2/(I - \mathbf{A}_F)\mathbb{Z}^2$$

but

$$\det(I - \mathbf{A}_E) = -1 \neq 1 = \det(I - \mathbf{A}_F).$$

Observation (Cuntz/Rørdam)

$E \not\sim_{\text{FE}} F$, yet $C^*(E) \sim_{\text{ME}} C^*(F)$.

Historical remark/motivation

The classification of simple graph C^* -algebras associated to essential and finite graphs by Rørdam predates the Kirchberg-Phillips theorem and provided several clues to its proof.

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Moves

Move (S)

Remove a regular source, as



Move (R)

Reduce a configuration with a transitional regular vertex, as



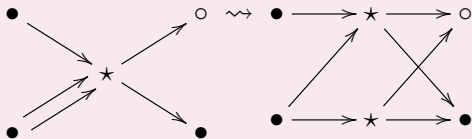
or



Moves

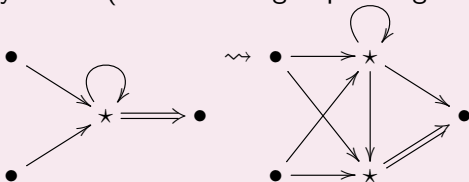
Move (I)

Insplit at regular vertex



Move (O)

Outsplit at any vertex (at most one group of edges infinite)



Move (C)

“Cuntz splice” on a vertex supporting two cycles



Theorem (Sørensen)

Let E and F be graphs with finitely many elements so that $C^*(E)$ and $C^*(F)$ are gauge simple. Then the following are equivalent

- 1 $C^*(E) \sim_{\text{ME}} C^*(F)$
- 2 $K_*(C^*(E)) \simeq K_*(C^*(F))$
- 3 There is a finite sequence of moves of type

(S),(R),(O),(I),(C)

and their inverses, leading from E to F .

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A MASA \mathfrak{D} in a C^* -algebra \mathfrak{A} is a maximal abelian subalgebra (commutative and not contained in any larger such subalgebra). We reserve the notation $\mathfrak{D} \hookrightarrow \mathfrak{A}$ for this situation.

Example

Consider the elements in $\mathbb{K}(H)$ as infinite matrices given by some orthonormal basis for H . Then the entries in each diagonal matrix must tend to zero, and we get

$$c_0 \hookrightarrow \mathbb{K}$$

Example

With

$$\mathfrak{D}_E = C^*(s_\alpha s_\alpha^* \mid \alpha \text{ path in } E) \subset C^*(E)$$

we get when every cycle has an exit that

$$\mathfrak{D}_E \hookrightarrow C^*(E)$$

Let now E again be essential and finite. In this case we have in fact $\mathfrak{D}_E \simeq C(X_E)$.

	Morita equiv.	*-isom.	Gauge invariance	\mathfrak{D} -preserving
Out-splitting	✓	✓	✓	✓
In-splitting	✓	-	✓	✓
Edge expansion	✓	-	-	✓
Cuntz splice	✓	-	-	-

Observation

When $X_E \sim_{\text{FE}} X_F$, we have

$$\begin{array}{ccc} C^*(E) \otimes \mathbb{K} & \xrightarrow{\cong} & C^*(F) \otimes \mathbb{K} \\ \uparrow & & \uparrow \\ \mathfrak{D}_E \otimes c_0 & \xrightarrow{\cong} & \mathfrak{D}_F \otimes c_0 \end{array}$$

Theorem (Matsumoto-Matui)

With E and F presenting irreducible SFTs, the following are equivalent

(i) $\chi_E \sim_{\text{FE}} \chi_F$

(ii) $C^*(E) \otimes \mathbb{K} \xrightarrow{\simeq} C^*(F) \otimes \mathbb{K}$

$$\begin{array}{ccc}
 & \uparrow & \uparrow \\
 \mathfrak{D}_E \otimes c_0 & \xrightarrow{\simeq} & \mathfrak{D}_F \otimes c_0
 \end{array}$$

By the results already described, we “just” need to prove that (ii) implies that $\text{sgn det}(1 - A_E) = \text{sgn det}(1 - A_F)$. This goes via a result of Boyle/Handelman on ordered cohomology.