

Classification of C^* -algebras, flow equivalence of shift spaces, and graph and Leavitt path algebras

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Lecture 1

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Content

- 1 Graphs
- 2 Algebras
- 3 Identifying algebras
- 4 Morita equivalence
- 5 Ideal structure

Apologies/disclaimers/warnings

- I will be taking the easiest way in, avoiding all technicalities which may meaningfully be avoided.
- I am no expert on Leavitt path algebras (but plenty of people here are).
- The literature is
 - scattered;
 - sometimes non-existent;
 - inconsistent regarding notation.
- I need to exert stringent time discipline.

Outline

- 1 Graphs
- 2 Algebras
- 3 Identifying algebras
- 4 Morita equivalence
- 5 Ideal structure

Definition

A graph is a tuple (E^0, E^1, r, s) with

$$r, s : E^1 \rightarrow E^0$$

and E^0 and E^1 countable sets.

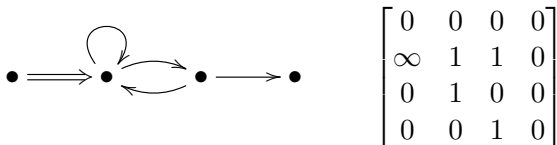
We think of $e \in E^1$ as an edge from $s(e)$ to $r(e)$ and often represent graphs visually



or by an adjacency matrix

$$A_E = \begin{bmatrix} 0 & 0 & 0 & 0 \\ \infty & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix}$$

Infinite graphs



- Note our use of the symbols \implies and ∞ .
- A *finite graph* has $|E^0|, |E^1| < \infty$.
- A graph with $|E^0| < \infty$ but $|E^1| = \infty$ is infinite but has *finitely many vertices*.

Simple graphs

Definition

A graph is *simple* if it has no multiple edges

The simple graphs are precisely those whose adjacency matrices have entries in $\{0, 1\}$.

The number of simple graphs with n vertices grows quickly:

$n = 1$	2
$n = 2$	10
$n = 3$	104
$n = 4$	3044
$n = 5$	291968
$n = 6$	96928992
$n = 7$	112282908928

Singular and regular vertices

Definitions

Let E be a graph and $v \in E^0$.

- v is a *sink* if $|s^{-1}(\{v\})| = 0$
- v is a *source* if $|r^{-1}(\{v\})| = 0$
- v is an *infinite emitter* if $|s^{-1}(\{v\})| = \infty$
- v is a *infinite receiver* if $|r^{-1}(\{v\})| = \infty$

Definition

v is *singular* if v is a sink or an infinite emitter. v is *regular* if it is not singular.



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C^* -algebras

Definition

A C^* -algebra is a complex Banach algebra with involution $a \mapsto a^*$ such that

$$\|aa^*\| = \|a\|^2$$

Key examples:

- $\mathbb{B}(\mathcal{H})$
- $\mathbb{K}(\mathcal{H})$
- $M_n(\mathbb{C})$
- $C(X)$, X compact Hausdorff
- $C_0(X)$, X locally compact Hausdorff

Rigidity

- 1 Any C^* -algebra is $*$ -isomorphic to a sub- C^* -algebra of some $\mathbb{B}(\mathcal{H})$
- 2 Any commutative C^* -algebra is $*$ -isomorphic to $C_0(X)$ or $C(X)$.
- 3 Any $*$ -isomorphism is an isometry

Graph algebras

Definition

The *graph C^* -algebra* $C^*(E)$ is given as the universal C^* -algebra generated by $\{p_v : v \in E^0\}$ and $\{s_e : e \in E^1\}$ subject to:

- $p_v = p_v^2 = p_v^*$
- $s_e s_e^* s_e = s_e$
- $p_v p_w = 0$ when $v \neq w$
- $(s_e s_e^*)(s_f s_f^*) = 0$ when $e \neq f$
- $s_e^* s_e = p_{r(e)}$
- $s_e s_e^* \leq p_{s(e)}$
- $p_v = \sum_{s(e)=v} s_e s_e^*$ for every regular v

Graph algebras

Compressed definition

The *graph C^* -algebra* $C^*(E)$ is given as the universal C^* -algebra generated by mutually orthogonal projections $\{p_v : v \in E^0\}$ and partial isometries $\{s_e : e \in E^1\}$ with mutually orthogonal ranges subject to the Cuntz-Krieger relations

- 1 $s_e^* s_e = p_{r(e)}$
- 2 $s_e s_e^* \leq p_{s(e)}$
- 3 $p_v = \sum_{s(e)=v} s_e s_e^*$ for every regular v

$C^*(E)$ is unital when E has finitely many vertices.

Leavitt path algebras

Let k be a field.

Definition

The *Leavitt path algebra* $L_k(E)$ is given as the universal k -algebra generated by mutually orthogonal idempotents $\{v : v \in E^0\}$ and elements $\{e, e^* : e \in E^1\}$ subject to the relations

- 1 $s(e)e = er(e) = e$
- 2 $r(e)e^* = e^*s(e) = e^*$
- 3 $e^*f = \delta_{e,f}r(e)$
- 4 $v = \sum_{s(e)=v} ee^*$ for every regular v

$L_k(E)$ is unital when E has finitely many vertices.

Graph C^* -algebras versus LPAs

Theorem (Tomforde)

$C^*(E)$ contains a canonical dense copy of $L_{\mathbb{C}}(E)$

- (i) $C^*(E) \simeq C^*(F)$
- (ii) $L_{\mathbb{C}}(E) \simeq L_{\mathbb{C}}(F)$ as $*$ -algebras
- (iii) $\forall (k, *) : L_k(E) \simeq L_k(F)$ as $*$ -algebras
- (iv) $L_{\mathbb{C}}(E) \simeq L_{\mathbb{C}}(F)$ as rings
- (v) $\forall k : L_k(E) \simeq L_k(F)$ as rings

Graph C^* -algebras versus LPAs

- (i) $C^*(E) \simeq C^*(F)$
 - (ii) $L_{\mathbb{C}}(E) \simeq L_{\mathbb{C}}(F)$ as $*$ -algebras
 - (iii) $\forall k : L_k(E) \simeq L_k(F)$ as $*$ -algebras
 - (iv) $L_{\mathbb{C}}(E) \simeq L_{\mathbb{C}}(F)$ as rings
 - (v) $\forall k : L_k(E) \simeq L_k(F)$ as rings
- (ii) \implies (i) by Tomforde's result, and clearly (iii) \implies (ii), (v) \implies (iv), (ii) \implies (iv), (iii) \implies (v)

Conjecture [Abrams-Tomforde]

(iv) \implies (i)

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$$E = \bullet$$

$$C^*(E) \simeq \mathbb{C}$$

$\varphi : C^*(E) \rightarrow \mathbb{C}$ given by

$$\varphi(p_v) = 1$$

is a $*$ -isomorphism.

Similarly, $L_k(E) = k$

$$E = \bullet \curvearrowright$$

$$C^*(E) \simeq C(S^1)$$



$\varphi : C^*(E) \rightarrow C(S^1)$ given by

$$\varphi(p_v) = 1 \quad \varphi(s_e) = z$$

is a $*$ -isomorphism.

Similarly, $L_k(E) = k[z, z^{-1}]$.

$n = 2$, Abelian cases

E		$C^*(E)$
• •	$\mathbb{C} \oplus \mathbb{C}$	
	$C(S^1) \oplus \mathbb{C}$	
	$C(S^1) \oplus C(S^1)$	

The Cuntz-Krieger uniqueness theorem

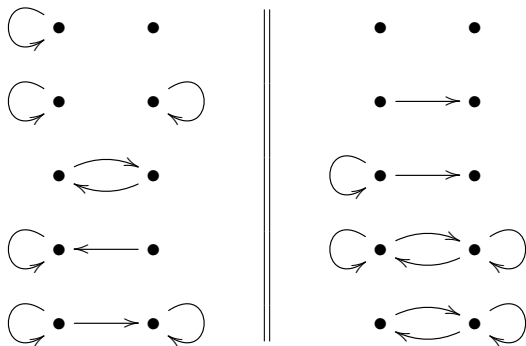
Theorem

Suppose $\varphi : C^(E) \rightarrow \mathfrak{A}$ is a $*$ -homomorphism with the property that*

$$\forall v \in E^0 : \varphi(p_v) \neq 0$$

When E has the property that every cycle has an exit, φ is injective.

$n = 2$, applicability of CKUT



$$E = v \xrightarrow{e} w$$

$$C^*(E) \simeq M_2(\mathbb{C})$$

$\varphi : C^*(E) \rightarrow M_2(\mathbb{C})$ given by

$$\varphi(p_v) = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$$

$$\varphi(p_w) = \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}$$

$$\varphi(s_e) = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}$$

is a $*$ -isomorphism.

$$E = \begin{array}{c} \textcircled{e} \\ \curvearrowright \end{array} v \xrightarrow{f} w$$

The operator $S \in \mathbb{B}(\ell^2(\mathbb{N}_0))$ defined by

$$S(\xi_0, \xi_1, \xi_2, \xi_3, \dots) = (0, \xi_0, \xi_1, \xi_2, \xi_3, \dots)$$

is called the unilateral shift. The *Toeplitz algebra* $C^*(S) = \mathcal{T}$ has universal properties.

$$C^*(E) \simeq \mathcal{T}$$

$\varphi : C^*(E) \rightarrow \mathbb{B}(\ell^2(\mathbb{N}_0))$ given by

$$\varphi(p_v)(\xi_0, \xi_1, \xi_2, \xi_3, \dots) = (0, \xi_1, \xi_2, \xi_3, \dots)$$

$$\varphi(p_w)(\xi_0, \xi_1, \xi_2, \xi_3, \dots) = (\xi_0, 0, 0, 0, 0, \dots)$$

$$\varphi(s_e)(\xi_0, \xi_1, \xi_2, \xi_3, \dots) = (0, \xi_0, 0, 0, 0, \dots)$$

$$\varphi(s_f)(\xi_0, \xi_1, \xi_2, \xi_3, \dots) = (0, 0, \xi_1, \xi_2, \xi_3, \dots)$$

is injective and maps onto $C^*(S)$.

$$E = \begin{array}{ccc} & f & \\ \curvearrowright & \xrightarrow{\quad} & \curvearrowright \\ e & v & w & g \\ & \xleftarrow{\quad} & & \\ & h & & \end{array}$$

Consider isometries $S_1, S_2 \in \mathbb{B}(\ell^2(\mathbb{N}_0))$ given by

$$S_1(\xi_0, \xi_1, \xi_2, \xi_3, \dots) = (0, \xi_0, 0, \xi_1, 0, \xi_2, 0, \xi_3, \dots)$$

$$S_2(\xi_0, \xi_1, \xi_2, \xi_3, \dots) = (\xi_0, 0, \xi_1, 0, \xi_2, 0, \xi_3, 0, \dots)$$

The Cuntz algebra $C^*(S_1, S_2) = \mathcal{O}_2$ has universal properties.

$$C^*(E) \simeq \mathcal{O}_2$$

$\varphi : C^*(E) \rightarrow \mathcal{O}_2$ given by

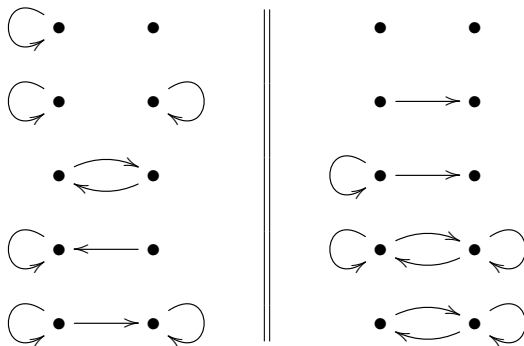
$$\varphi(p_v) = S_1 S_1^* \quad \varphi(p_w) = S_2 S_2^*$$

$$\varphi(s_e) = S_1 S_1 \quad \varphi(s_f) = S_1 S_2$$

$$\varphi(s_g) = S_2 S_2 \quad \varphi(s_h) = S_2 S_1$$

is a $*$ -isomorphism.

$n = 2$, applicability of CKUT



Gauge action

Observation

$$\gamma_z(p_v) = p_v \quad \gamma_z(s_e) = z s_e$$

induces a **gauge action** $S^1 \mapsto \text{Aut}(C^*(E))$

The action is strongly (i.e. point-norm) continuous.

The gauge invariant uniqueness theorem

Theorem

Suppose $\varphi : C^*(E) \rightarrow \mathfrak{A}$ is a $*$ -homomorphism with the property that

$$\forall v \in E^0 : \varphi(p_v) \neq 0$$

When \mathfrak{A} also has a strongly continuous gauge action β_z which intertwines φ and γ in the sense that

$$\forall z \in S^1 : \beta_z \circ \varphi = \varphi \circ \gamma_z$$

then φ is injective.

Key example: $\beta_z \in \text{Aut}(C(S^1))$ with $\beta_z(f)(w) = f(zw)$.

$$E = v \begin{array}{c} \xrightarrow{e} \\ \xleftarrow{f} \end{array} w$$

$$C^*(E) \simeq M_2(C(S^1))$$

$\varphi : C^*(E) \rightarrow M_2(C(S^1))$ given by

$$\begin{array}{ll} \varphi(p_v) = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} & \varphi(p_w) = \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix} \\ \varphi(s_e) = \begin{bmatrix} 0 & z \\ 0 & 0 \end{bmatrix} & \varphi(s_f) = \begin{bmatrix} 0 & 0 \\ z & 0 \end{bmatrix} \end{array}$$

is a $*$ -isomorphism by the GIUT.

$$E = \begin{array}{c} \textcircled{e} \\ \curvearrowright \\ v \xleftarrow{f} w \end{array}$$

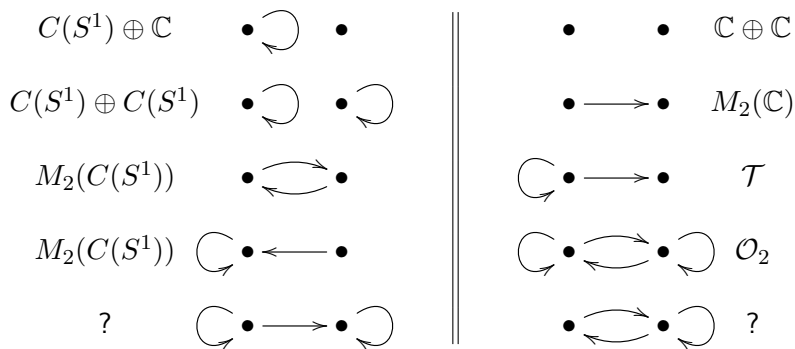
$$C^*(E) \simeq M_2(C(S^1))$$

$\varphi : C^*(E) \rightarrow M_2(C(S^1))$ given by

$$\begin{array}{ll} \varphi(p_v) = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} & \varphi(p_w) = \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix} \\ \varphi(s_e) = \begin{bmatrix} z & 0 \\ 0 & 0 \end{bmatrix} & \varphi(s_f) = \begin{bmatrix} 0 & 0 \\ z & 0 \end{bmatrix} \end{array}$$

is a $*$ -isomorphism by the GIUT.

$$n = 2$$



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Theorem (Brown-Green-Rieffel)

When \mathfrak{A} and \mathfrak{B} are separable C^* -algebras, the following are equivalent

- ① $\mathfrak{A} \otimes \mathbb{K} \simeq \mathfrak{B} \otimes \mathbb{K}$
- ② There exists a C^* -algebra \mathfrak{D} and orthogonal full projections $p, q \in M(\mathfrak{D})$ with

$$p\mathfrak{D}p \simeq \mathfrak{A} \quad q\mathfrak{D}q \simeq \mathfrak{B}$$

- ③ There exists an $\mathfrak{A} - \mathfrak{B}$ imprimitivity bimodule

We say that \mathfrak{A} and \mathfrak{B} are *Morita equivalent* and write $\mathfrak{A} \sim_{\text{ME}} \mathfrak{B}$ in this case. Note all of

$$\mathbb{C}, M_2(\mathbb{C}), M_3(\mathbb{C}), \dots, \mathbb{K}$$

are Morita equivalent.

Definition

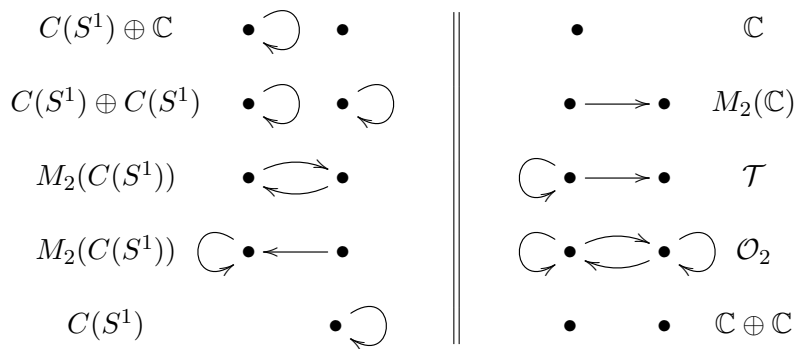
Let R and S be unital rings. We say that R and S are Morita equivalent if the category $R\text{-mod}$ of left modules over R is equivalent to the category $S\text{-mod}$ of left modules over S .

When R and S are Abelian, Morita equivalence reduces to isomorphism. One sees that all of

$$\mathbf{k}, M_2(\mathbf{k}), M_3(\mathbf{k}), \dots$$

are Morita equivalent.

Identified algebras



Graph C^* -algebras versus LPAs

Let E, F be graphs with finitely many vertices and consider

- (i) $C^*(E) \sim_{\text{ME}} C^*(F)$
- (ii) $L_{\mathbb{C}}(E) \sim_{\text{ME}} L_{\mathbb{C}}(F)$
- (iii) $\forall k : L_k(E) \sim_{\text{ME}} L_k(F)$

Conjecture [Abrams-Tomforde]

(ii) \implies (i)

Key questions

Let \mathcal{G} denote the set of graphs with finitely many vertices.

Geometric classification

- 1 Which equivalence relation \sim_{C^*} is induced on \mathcal{G} by

$$C^*(E) \sim_{\text{ME}} C^*(F)?$$

- 2 Which equivalence relation \sim_{LPA} is induced on \mathcal{G} by

$$L_{\mathbb{C}}(E) \sim_{\text{ME}} L_{\mathbb{C}}(F)?$$

Simple graphs

Let $\mathcal{G}_s[n]$ denote the set of simple graphs with n vertices.

n	$ \mathcal{G}_s[n] $	$ \mathcal{G}_s[n]/\sim_{C^*} $	$ \mathcal{G}_s[n]/\sim_{\text{LPA}} $
1	2	2	2
2	10	8	8
3	104	35	35
4	3044	206	?
5	291968	?	?

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Let \mathfrak{A} be separable. The set of prime ideals $\text{Prim}(\mathfrak{A})$ in a C^* -algebra has a (possibly non-Hausdorff) hull-kernel topology. There is a 1 – 1 correspondence between the ideals of \mathfrak{A} and the open sets of $\text{Prim}(\mathfrak{A})$. Key examples:

- $\text{Prim}(\mathbb{K}(\mathcal{H})) = \text{Prim}(M_n(\mathbb{C})) = \text{Prim}(\mathcal{O}_2) = \{\star\}$
- $\text{Prim}(\mathbb{B}(\mathcal{H})) = \{\star, \square\}$ with $\{\square\}$ the only non-open set
- $\text{Prim}(C(X)) = X$
- $\text{Prim}(\mathcal{T}) = \{\star\} \cup S^1$ with \star dense and the usual topology on S^1 .

Observation

When $\mathfrak{A} \sim_{\text{ME}} \mathfrak{B}$, $\text{Prim}(\mathfrak{A}) \simeq \text{Prim} \mathfrak{B}$.

Theorem

When E is a finite graph, there is a 1 – 1 correspondence between the gauge invariant ideals of $C^*(E)$ and subsets $V \subseteq E^0$ that are **hereditary** and **saturated** sets of vertices V :

- $s(e) \in V \implies r(e) \in V$
- $r(s^{-1}(v)) \subseteq V \implies v \in V$

Proposition

If $\mathfrak{I} \triangleleft \mathfrak{J} \triangleleft C^*(E)$ are gauge invariant ideals such that $\mathfrak{J}/\mathfrak{I}$ has no non-trivial gauge-invariant ideals yet is not simple, then

$$\mathfrak{J}/\mathfrak{I} \sim_{\text{ME}} C(S^1)$$

Recipe for computing $\text{Prim}(C^*(E))$ for E finite

- 1 Locate all hereditary and saturated subsets of E^0 (don't forget the empty set)
- 2 Extract those sets V that contain a largest such proper subset V_0
- 3 Organize these sets into a partially ordered \mathcal{P} set using containment of sets as the order
- 4 Represent the partially ordered set as a Hasse diagram
- 5 Color those vertices with the property that $V \setminus V_0$ is a cycle with no exit

$\text{Prim}(C^*(E))$ is obtained as the Alexandrov topology of \mathcal{P} with a circle substituted at each colored vertex. Thus, the colored Hasse diagram is a Morita equivalence invariant for $C^*(E)$.

$$n = 2$$

